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Fax TransmissionDATE: July 20, 2004

TO: Commissioner for Patents
Attn: Examiner Unknown
P.O. Box 1450
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FROM: Glenn M. Seager

OUR REF: 1001.1521101
TELEPHONE: 612.359.9312

Total pages, including cover letter: 5**PTO FAX NUMBER 703-872-9306**

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Title of Document Transmitted:

Information Disclosure Statement and Form
1449

Applicant: Louis G. Ellis
Serial No.: 10/828,721
Filed: April 21, 2004
Group Art Unit: 3731
Our Ref. No.: 1001.1521101
Confirmation No. 4770
Customer No. 28075

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By: [Signature]
Name: Glenn M. Seager
Reg. No.: 36,926

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Name: JoAnn Lindman

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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/828,721
Applicant : Louis G. Ellis
Filed : April 21, 2004
TC/A.U. : 3731
Examiner : Unknown
Title: MAGNETIC EMBOLIC PROTECTION DEVICE AND METHOD
Docket No. : 1001.1521101
Customer No. : 28075

Confirmation No.: 4770

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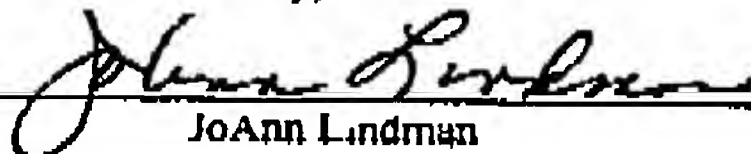
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CERTIFICATE UNDER 37 C.F.R. 1.06(d) The undersigned hereby certifies that this paper or papers, as described herein, are being facsimile transmitted to the U S. Patent and Trademark Office, Fax No. 703-872-9306, on this 20 day of July, 2004.

By


JoAnn Lindman

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Under the provision 37 CFR §1.98(a)(2)(i), copies of the documents listed on the 1449 Form are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated:

July 20, 2004

Respectfully submitted,


Glenn M. Seager, Reg. No. 36,926

Customer No. 28075

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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number:	Application Number:
	1001 1521101	10/828,721
	Applicant: Louis G Ellis	
Filing Date: April 21, 2004		Group Art Unit: 3731

U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	2003/0187474 A1	10/2003	Keegan et al.		
	2003/0171770 A1	09/2003	Kusleika et al.		
	2002/0183782 A1	12/2002	Tsugita et al.		
	6,623,450	09/2003	Dutta		
	6,616,680	09/2003	Thielen		
	6,544,276	04/2003	Azizi		
	6,511,497	01/2003	Braun et al.		
	6,371,971	04/2002	Tsugita et al.		
	6,344,049	02/2002	Levinson et al.		
	6,336,934	01/2002	Gilson et al.		
	6,290,710	09/2001	Cryer et al.		
	6,277,139	08/2001	Levinson et al.		
	6,277,138	08/2001	Levinson et al.		
	6,264,672	07/2001	Fisher		
	6,179,859	01/2001	Bates et al.		
	6,152,946	11/2000	Broome et al.		
	6,142,987	11/2000	Tsugita		
	6,059,814	05/2000	Ladd		
	6,001,118	12/1999	Daniel et al.		
	5,989,281	11/1999	Barbut et al.		
	5,984,947	11/1999	Smith		
	5,941,896	08/1999	Kerr		
	5,928,261	07/1999	Ruiz		
	5,910,154	08/1999	Tsugita et al.		
	5,827,324	10/1998	Cassell et al.		
	5,814,064	09/1998	Daniel et al.		
	5,570,701	11/1996	Ellis et al.		
	5,464,023	11/1995	Viera		

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number:	Application Number:
	1001 1521101	10/828,721
	Applicant: Louis G. Ellis	
	Filing Date: April 21, 2004	Group Art Unit: 3731

U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	5,269,759	12/1993	Hernandez et al.		
FOREIGN PATENT DOCUMENTS					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					

EXAMINER:	DATE CONSIDERED:
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